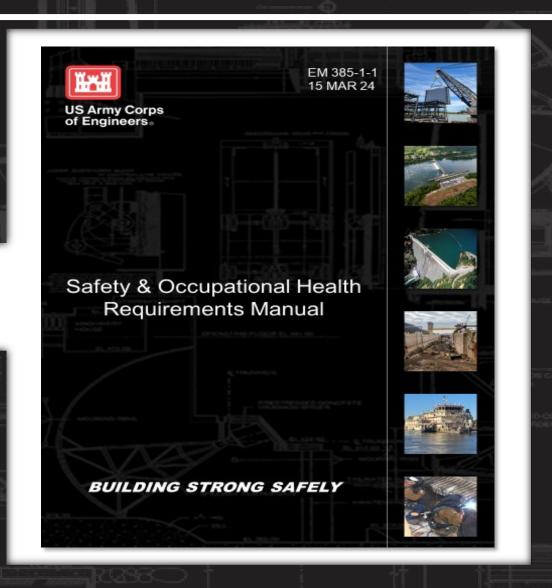
### EM 385-1-1

**OVERVIEW OF THE UPDATED EM 385-1-1 (15 MARCH 2024)** 

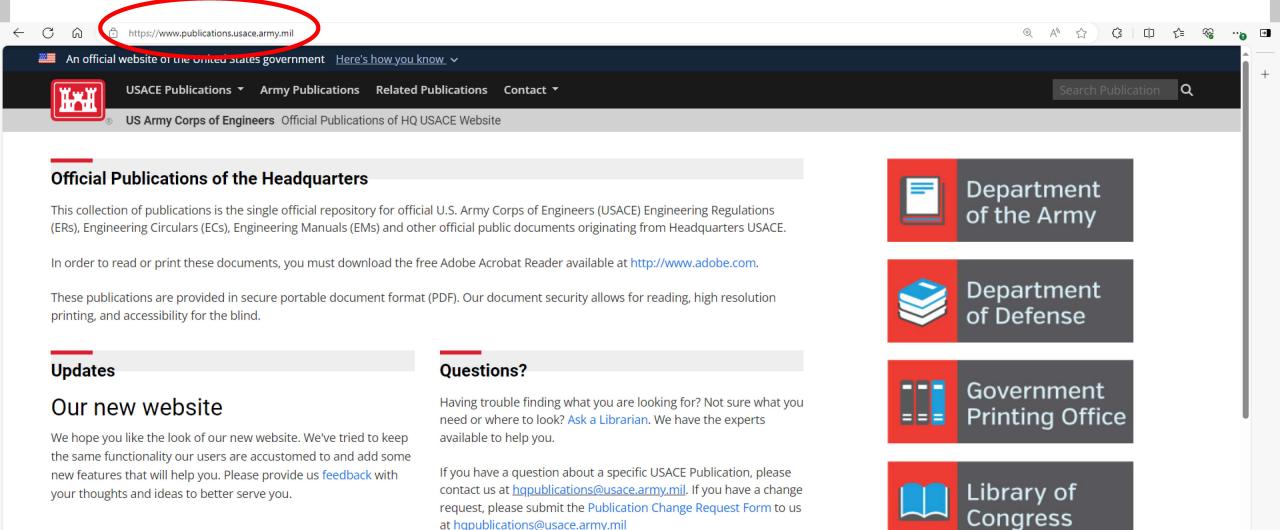






## **EM 385-1-1 LOCATION**



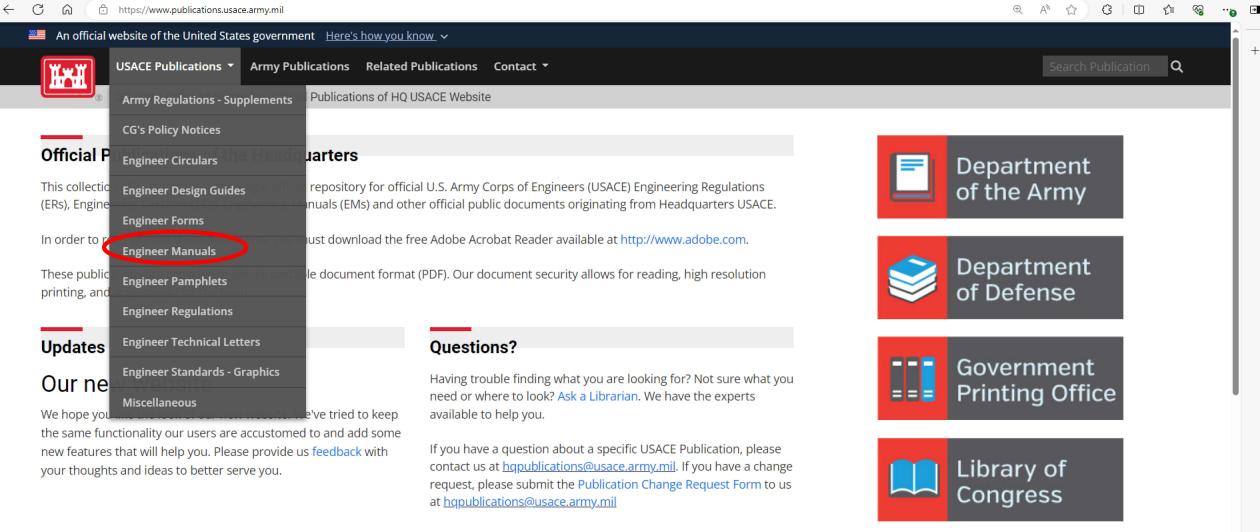


at hgpublications@usace.army.mil



### **EM 385-1-1 LOCATION**







# **EM 385-1-1 LOCATION**



Q



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#### **Engineer Manuals**

Key Words

Search

Pub Number	Proponent	Title Title	Pub Date	Latest Review	Info
EM 200-1-2	CEMP-RT	Technical Project Planning (TPP) Process	2/29/2016		0
EM 200-1-4	CEMP-RT	Risk Assessment Handbook - Volume I: Human Health Evaluation	1/31/1999		0
EM 200-1-4	CEMP-RT	Risk Assessment Handbook: Volume II - Environmental Evaluation	12/31/2010		0
EM 200-1-6	CEMP-RT	Chemical Quality Assurance for Hazardous, Toxic, and Radioactive (HTRW) Projects	10/10/1997		0
EM 200-1-7	CEMP- RT/CECW-E	Environmental Quality - Performance Evaluation (PE) Program	2/1/2001		0
EM 200-1-10	CEMP- CE/CECW-EC	Environmental Quality - Guidance for Evaluating Performance-Based Chemical Data	3/1/2021		0
EM 200-1-12	CEMP-CE	Environmental Quality: Conceptual Site Models	8/11/2023		0
EM 200-1-13	CECW-CE	Environmental Quality: Minimizing the Risk of Legionellosis Associated with Building Water Systems on Army Installations	9/30/2016		0
EM 200-1-15	CEMP-CE	Environmental Quality Technical Guidance For Military Munitons Response Actions	10/30/2018		0
EM 200-1-16	CEMP-CE	Environmental Quality - Environmental Statistics	5/31/2013		0
EM 200-1-19	CEMP-CE	IN-SITU AIR SPARGING	12/31/2013		0
EM 200-1-21	CEMP-CE	Design: IN SITU Thermal Remediation	5/30/2014		0
EM 200-1-22	CEMP-CE	Landfill Gas Collection and Treatment Systems	4/30/2013		0
EM 385-1-1	CESO-ZA	EFFECTIVE through 14March2024 Safety and Health Requirements - English	11/30/2014		0
EM 385-1-1	CESO	EFFECTIVE: 15March2024 Safety and Occupational Health (SOH) Requirements. NOTE: EM 385-1-1 dated 30Nov2014 is in effect through 14Mar2024.	3/15/2024		0
EM 385-1-80	CESO	Radiation Protection Manual	9/30/2013	_	0
	0500 5		E /4 T /0040		-



# **HISTORICAL PERSPECTIVE**







- Originated in 1941
- Covers both USACE employees + contractors

- HHH
  - Used for portion of OSHA regulations in 1971
  - Last update was in November 2014

# EM 385-1-1 HIGHLIGHTS



2014



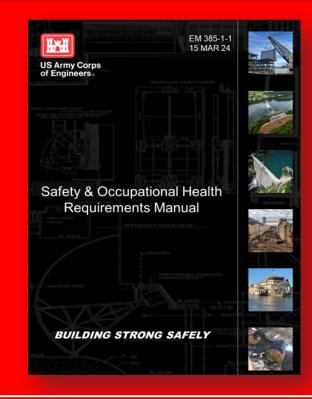
930 pages



**Better Alignment with:** 



Industry Standard 2024



757 pages



### **NEW TABLE OF CONTENTS**



<u>Chapter 1</u>: Safety and Occupational Health Program

Management for USACE Personnel

Chapter 2: Safety and Occupational Health Program

Management for Contractors ← New Chapter

Chapter 3: Medical and First Aid

Chapter 4: Temporary Facilities

Chapter 5: Personal Protective and Lifesaving Equipment

Chapter 6: Hazardous or Toxic Agents and Environments

Chapter 7: Lighting

Chapter 8: Accident Prevention Signs, Tags, Labels, Signals, and

**Traffic Control** 

Chapter 9: Fire Prevention and Protection

Chapter 10: Welding and Cutting

Chapter 11: Electrical

Chapter 12: Control of Hazardous Energy

Chapter 13: Hand and Power Tools

Chapter 14: Material Handling, Storage, Disposal, and Conveyors

Chapter 15: Rigging

Chapter 16: Load Handling Equipment

Chapter 17: Demolition, Renovation, and Re-Occupancy

Chapter 18: Vehicles, Machinery, and Mechanized Equipment

Chapter 19: Floating Plant and Marine Activities

Chapter 20: Pressurized Equipment and Systems

Chapter 21: Fall Protection

Chapter 22: Working Platforms and Scaffolding

Chapter 23: Rope Access ← New Chapter

Chapter 24: Safe Access

Chapter 25: Excavation and Trenching

Chapter 26: Underground Construction, Shafts, and Caissons

Chapter 27: Concrete, Masonry, Roofing, and Wood Construction

Chapter 28: Steel Erection

Chapter 29: Blasting

Chapter 30: Diving Operations

Chapter 31: Tree Maintenance and Removal

Chapter 32: Airfield and Aircraft Operations

Chapter 33: Uncrewed Aircraft 

New Chapter

Chapter 34: Confined Space

Chapter 35: Sanitation

Chapter 36: Hazardous Waste Operations and Emergency

Response

Chapter 37: Emergency Operations 

New Chapter

NOTE: Chapters in "BLUE" are new areas not previously included in the EM 385-1-1



#### **UNIFORM SECTIONS PER CHAPTER**

XX-1. References

**Numerous additions for clarity** 

XX-2. Definitions

XX-3. Personnel Required Qualification / Training

XX-4. Roles and Responsibilities

XX-5. Inspection Requirements

XX-6. Activity Hazard Analysis (AHA) Requirements

XX-7. Minimum Plan Requirements

XX-8. General Requirements

XX-9. Figures and Tables

XX-10. Checklists and Forms

#### **2024 DESIGN FOR THE EM 385-1-1**





FRONT COVER

**BACK COVER** 



> All appendixes provided in previous editions have been removed from this edition.

**Appendix A** – Minimum Basic Outline for Accident Prevention Plans is now covered in para 7 of each chapter. Contractors should utilize APP Worksheet provided as Form 2-10.a to assist in the develop of an APP.

**Appendix B** – Emergency Operations has been replaced with Chapter 37.

**Appendix C** - Process for Requested Interpretations incorporated into Introduction/Preamble section.

**Appendix D** - Process for Requesting Waivers/Variances incorporated into Introduction/Preamble section.



> All appendixes provided in previous editions have been removed from this edition.

**Appendix E** – Assured Equipment Grounding Conductors Program incorporated into Chapter 15 – Electrical.

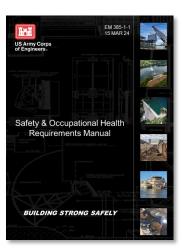
**Appendix F** – Floating Plant and Marine Activities Diagrams relocated to Chapter 29 – Floating Plant and Marine Activities, paragraph 29-9, Figures and Tables.

**Appendix G** – Manning Levels for Dive Teams incorporated into Chapter 28 – Diving Operations, paragraph 28-9, Figures and Tables.

**Appendix Q** – Definitions is now incorporated in paragraph 2 of each chapter. Only terms applicable for the chapter are identified.

# EM 385-1-1

USACE Safety & Occupational Health Requirements Manual





### **PREAMBLE**





## **APPLICABILITY FOR EM 385-1-1**





#### Construction contract work under the provisions of FAR Clause 52.236-13.

Contractors must comply with the latest version of this manual (including interim changes) that is in effect on the date of solicitation. Prior to making an offer, bidders should check the HQUSACE Safety and Occupational Health website for the latest changes. No separate payment will be made for compliance with this paragraph or for compliance with other safety and health requirements of this contract.

*Note*. Existing contracts will continue to apply the provisions of the previous edition of this manual until contract completion.



#### Maintenance, service, research/development, and supply

Must comply with this manual. Compliance with this manual will be a contract requirement for all activities <u>unless</u> technical representatives (in coordination with local Safety and Occupational Health Office) advise that special precautions are not appropriate due to extremely limited scope of services or similar.



## Hazardous, toxic, and radioactive waste site (HTRW) investigation, design, or remediation

Compliance with this manual will be a contract requirement.





- > All changes are identified by underlining of the text.
- All interim and permanent changes made to this manual after its publication date will be posted on the official publications HQ USACE website: <a href="https://www.publications.usace.army.mil/">https://www.publications.usace.army.mil/</a>.
- Supplementations can only be authorized by the Chief of Safety and Occupational Health, HQUSACE.

# CITATION INSTRUCTIONS

This manual uses citations to allow the user to quickly navigate each chapter and paragraphs. The citations start with the chapter number, then paragraph number, followed by a letter corresponding to the sub-paragraph.

For example, 10-3.b means chapter 10, paragraph 3, first subparagraph b.

https://www.publications.usace.army.mil/



### **CITATIONS**



#### 1-1. References.

- a. ANSI/ ASSP A10.33 Safety and Health Pregram Requirements for Multi-Employer Projects (<a href="https://webstore.ansi.org/">https://webstore.ansi.org/</a>) (1-1.a)
- b. ANSI/ASSP Z490.1, Criteria for Accepted Practices in Safety, Health and Environmental Training (<a href="https://webstore.ansi.org/">https://webstore.ansi.org/</a>) (1-1.b)
- c. AR 40-5, Army Public Health Program (<a href="https://armypubs.army.mil/ProductMaps/PubForm/AR.aspx">https://armypubs.army.mil/ProductMaps/PubForm/AR.aspx</a> (1-1.c)
- d. AR 385-10, The Army Safety and Occupational Health Program (https://armypubs.army.mil/ProductMaps/PubForm/AR.aspx) (1-1.d)
- e. <u>DA Pam 385-10</u>, Army Safety Program and Occupational Health Program <u>Procedures</u> (https://armypubs.army.mil/ProductMaps/PubForm/PAM.aspx) (1-1.e)
- f. DA Pam 385-40, Army Mishap Investigations and Reporting (https://armypubs.army.mil/ProductMaps/PubForm/PAM.aspx) (1-1.f)
- g. DoDI 6055.1, DoD Safety and Occupational Health Program (https://www.esd.whs.mil/Directives/issuances/dodi (1-1.g)
- h. <u>DoDI 6055.07</u>, <u>Mishap Notification</u>, <u>Investigation</u>, <u>Reporting and Record Keeping</u> (<u>https://www.esd.whs.mil/Directives/issuances/dodi</u>) (1-1.h)
- i. <u>DoDI 6055.12</u>, <u>Hearing Conservation Program</u> (<u>https://www.esd.whs.mil/directives/issuances/dodi/</u> (1-<u>1.i</u>)
- j. Executive Order 12196, Occupational Safety and Health Programs for Federal Employees, February 26, 1980 (https://www.archives.gov/federal-register/codification/executive-order/12196.html (1-1.j)

B Display Setting

#### 7-7. Minimum Plan Requirements.

Night Operations Lighting Plan. If work is to be performed at night, a Night Operations Lighting Plan must be developed to ensure all activities, areas, and operations are adequately illuminated to perform work safely. The plan must be submitted to the USACE supervisor for approval or to the KO or COR for acceptance and include the following:

- a. A map of the work area to be illuminated (7-7.a)
- b. Locations of all lighting units used to meet minimum lighting levels (7-7.b)
- c. Identification of required lighting level by area. (7-7.c)
- d. <u>Identification of onsite personnel responsible for verifying lighting plan is</u> <u>effective and does not present a glare hazard for nearby roadways and other public transportation.</u> (7-7.d)

#### 7-8. General Requirements.

- a. Lighting Levels. While work is in progress, facilities, accessways, working areas, roads, etc., must be illuminated to not less than the minimum intensities specified below (see table 7-1 for limited reference and requirements). Areas not specified below must be illuminated according to the priminion intensities specified in the applicable ANSI Workplace Lighting Standards (7-8.a)
  - (1) For construction work sites, see 29 CFR 1926.56.
  - (2) Design DoD facilities, according to UFC 3-530-01.
  - (3) For marine lighting, see ABS, Guide for Crew Habitability on Ships.
  - (4) For illumination of means of egress and emergency lighting, see NFPA 101.
- (a) Provide emergency lighting for buildings under construction when the progress of construction or environmental conditions limits the ability of natural lighting to provide safe egress (average of 1 foot candle or 10.7 Lux) during a power outage.
- (b) The illumination must be arranged so that the failure of any single lighting unit, including the burning out of an electric bulb, will not leave any area darkened to the point of impeding the means of egress.
- (5) Take and record illumination readings, with a calibrated light meter, when the minimum lighting requirements of an area is in question.



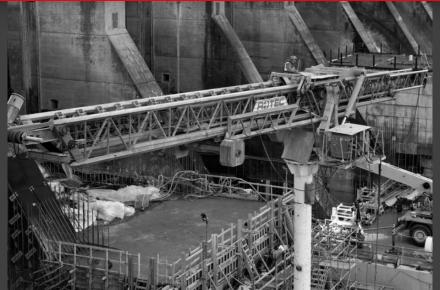




# **CHAPTER 2**

Safety and Occupational Health Program Management for Contractors









### **APPLICABILITY**



#### **GDA**

The term Government Designated Authority (GDA) is no longer applicable within this manual. GDA has been replaced with the responsibility of the KO/COR.

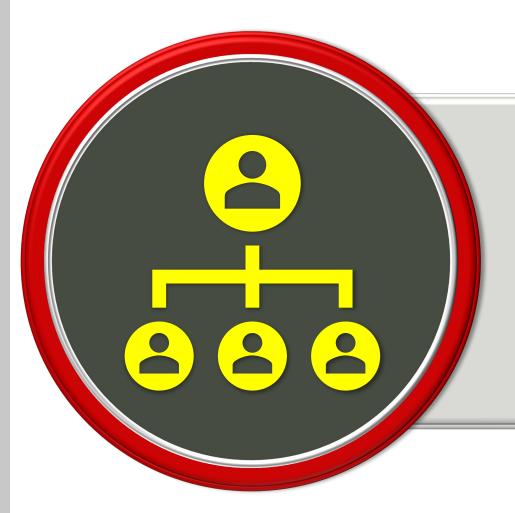
#### **Guidance**

This chapter applies to all contractors performing work activities under a USACE contract including those administered on behalf of USACE. This chapter provides the overall programmatic guidance for developing, managing, and implementing a SOH program. The requirements set forth in this chapter are the foundation for the contractor SOH program and must be carried through the additional chapters in this manual



# ADDED: CLARIFICATION ON SOH RESPONSIBILITY





On contractor site of work, the prime contractor's project management team, with the assistance of the SSHO, is responsible for managing, communicating, implementing, and enforcing compliance with the accepted APP and other accepted SOH submittals and requirements.

# SITE SAFETY & HEALTH OFFICER



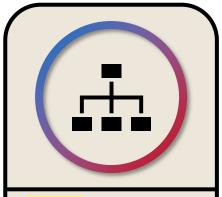
#### What is a SSHO?

A contractor employee that is responsible for overseeing and ensuring implementation of the prime contractor's SOH program according to the contract, this manual, applicable federal, state, and local requirements.

LEVEL	RESPONSIBILITY	TRAINING QUALIFICATION	EXPERIENCE REQUIREMENTS
1	Full-time SOH	<ul> <li><u>INITIAL</u>: OSHA 30-Hour (GI/CON) or combined training involving subjects of OSHA 30-Hour (accepted by KO/SOHO)</li> <li><u>RECERT</u>: 24 hrs. documented formal classroom or online SOH-related training every three-year period of the USACE contract. Can be multiple classes combined.</li> </ul>	At least <u>five</u> years of cumulative safety experience, within the last ten years, managing or implementing a SOH program on projects similar in industry type, size, and complexity as the project described in the contract scope of work.
2	Collateral Duty	<ul> <li>INITIAL: OSHA 30-Hour (GI/CON) or combined training involving subjects of OSHA 30-Hour (accepted by KO/SOHO)</li> <li>RECERT: 24 hrs. documented formal classroom or online SOH-related training every three-year period of the USACE contract. Can be multiple classes combined.</li> </ul>	At least three years of cumulative safety experience, within the last 10 years, managing or implementing a SOH program on projects similar in industry type, size, and complexity as the project described in the contract scope of work.
3	Designated QP/CP	Training must consist of information for establish/maintain designated Qualified Person (QP) or Competent Person (CP).  NOTE: Cannot be assigned to projects that have a residual RAC of high or extremely high.	No timeframe specifically identified. Enables identification of hazards and implementation of controls for the work being performed

# WHAT DOES A SSHO DO?





Report to corp. SOH official, senior PM, or corp. official



Maintain reference material at site of work (SDS, APP, EM 385-1-1, etc.)



Conduct daily SOH
inspections +
maintain deficiency
tracking system



Report + investigate all accidents, near misses. Completes ENG Form 3394



Attend meetings (pre-construction, pre-work, prep, inprogress)



Evaluate APP + submit changes, revisions, and updates



Ensure all project personnel comply with SOH requirements



Provide + keep
records of SOH
orientation training
(incl. subcontractors)



Review + sign ENG Form 6282 (SSHO Designation Letter)

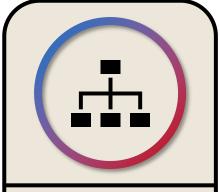


Ensure visitors are escorted, properly protected, + wearing appropriate PPE



# WHAT DOES A SUPERVISOR DO?





Ultimately responsible for SOH program implementation at site of work



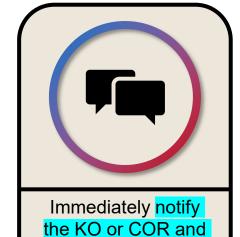
Ensure AHA process is implemented.
Ensure AHA are developed by CP



Attend monthly meetings for all Supervisors on the site of work



Report + investigate all accidents, near misses. Approves ENG Form 3394



local SOHO of any

**OSHA** inspections



Ensure training in handling emergency situations that may arise from a project



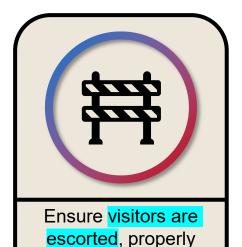
Ensure no person is required, instructed, or allowed to work in unsafe or in danger



Ensure all employees are physically and medically qualified



Ensure all employee required SOH training is completed prior to work



protected, + wearing

appropriate PPE

# **CORPORATE SAFETY OFFICIAL**



The contractor Corporate Safety Official is the prime contactor's corporate official that is responsible for the contractor's overall SOH program



Designate all contractor SSHOs on a mandatory ENG Form 6282 (Site Safety and Health Officer Designation Letter) (see para 2-10.c) and ensure they meet minimum training and experience requirements



Review and approve the site-specific APP acknowledging approval with signature and date

### PRIME CONTRACTOR

Be responsible for the processes, conduct, and safe completion of their work.

Conduct and document safety meetings.

- Ensure that all materials, documentation, and drawings intended for submission comply with the applicable contract SOH requirements
- developed by a CP according to this manual and reviewed for compliance prior to submission to the government

Maintain a stock of common

- Maintain and update the APP and AHAs as needed to ensure they reflect site- specific tasks during the contract duration
- Make certain that work is not permitted to begin prior to government review and acceptance of required safety submittals

PPE, such as hard hats, eye protection, ear plugs, and reflective vests, for use by visitors

- Ensure all employees receive SOH training as required by this manual, applicable federal, state, and local requirements prior to the start of work
- bulletin board in a commonly accessed area in clear view of the onsite employees

Ensure all contractor employees (that is, prime & subcontractors) comply with the contract, this manual, applicable federal, state, local requirements



# **CONTRACTOR QUALITY CONTROL (QC)**



Conduct daily SOH inspections and document the findings in daily logs



# **INSPECTION REQUIREMENTS**



### **External Agency Inspections**

- Immediately notify the <u>KO or COR</u> and local SOHO of any OSHA or other regulatory agency inspection
  - Provide the <u>KO or COR</u> and local SOHO with a copy of any citations or reports issued by the inspector
  - The local SOHO will provide a copy of any citations or reports issued by the inspector and any corrective action responses to the citation(s) or report(s) to division and HQUSACE-SO

### **Contractor SOH Inspections**

- The APP must provide for frequent safety inspections and audits to be conducted by the <u>QC and SSHO</u>
- The SSHO must establish and maintain a deficiency tracking system\_that lists and monitors outstanding deficiencies until resolved

# **ACTIVITY HAZARD ANALYSIS (AHA)**



### KEY TAKEAWAY: USACE does not accept contractor risk.

### JSA/JHA

Job Safety Analyses (JSAs), Job Hazard Analyses (JHAs), or similar risk management assessment tools are considered equivalent to, and acceptable substitutes for, AHAs as long as the data collected. Develop adequate controls (APP, AHA, SOP, etc.)

#### **DOCUMENTATION**

> AHAs must be provided to and reviewed by all involved employees prior to starting the task. Each employee must document their review with a signature on the AHA or an additional signature sheet. Provide copies of signed AHAs to the supervisor or local SOHO upon request. Implement and enforce plans during task

### **AHA REVISED**

> If the AHA needs to be revised, work should not resume until revision is complete and provided to and reviewed by all involved employees prior to starting the task. Evaluate tasks to ensure controls are implemented and effective

#### **WORK STOPPED**

> If the work has been stopped due to safety non-compliance with this manual, site-specific SOH Plan, AHA, etc. and the AHA needs to be revised, work should not resume until revision is complete and accepted and employees have reviewed.



# WHEN A LEVEL 1 SSHO IS NEEDED



		U.S. Army	Corps	of Engineers (US	ACE)				
		ACTIVITY	HAZAF	RD ANALYSIS (A	AHA)				
	I	For use of this form, see	EM 385	-1-1; the proponent	agency la C	ESO.			
identification, asse	ivity Hazard Analysis Wor essment, and prioritizing of Impact of unfortunate ever	f risks, followed by coordin	nated and						der he the
Residual RAC mu	st be communicated and a	accepted by the proper ap	proval au	thority before beginn	ing the activi	ty.			
AHAs must be pro last section of form	ovided to, and reviewed by n.	, all involved employees p	orior to st	arting the task. Each	emplovee m	ust docume	nt their review	with a sign	ature in the
Activity:			Date		Overa		essment Coo ghest code)	le (RAC)	-
Location:				Risk /	Assess	ment (	Code M	atrix	
December 1900				Extremely High Risk High Risk		ı	Probabilit	y	
Prepared By:			M -	Moderate Risk Low Risk	Frequent	Likely	Occasional	Seldom	Unlikely
Reviewed By:			8	Catastrophic	E	E	н	Н	М
			•	Critical	E	Н	Н	М	L
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	JOB STEPS	HAZARDS (Recognized/Anticipa	ited)	CONTROLS (ACTIO	ONS TO ELIN		MIMI	RESID	246
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#### Risk Assessment Code Matrix E = Extremely High Risk **Probability** H = High Risk M = Moderate Risk Likely Occasional Seldom Unlikely Frequent L = Low Risk Catastrophic Н M Н Critical н M Marginal Н M М Negligible M

Level 1: Must be assigned and at the site of work at all projects that have a residual risk assessment code (RAC) of high or extremely high on an Activity Hazard Analysis (AHA).

Level 2 or 3: Cannot be assigned to projects that have a residual RAC of high or extremely high.

# **EXCEPTIONS + DOCUMENTATION**



### **Exceptions to a full time Level 1 SSHO Requirement.**

- May be modified to use any level SSHO or combination of levels by the USACE KO and the local SOHO in contract documents.
- Must be a collaborative effort and will be based on job complexity, size, and other pertinent factors.
- Decision will be documented + rational used to modify the requirements based on the project scope/ risk.
- Some examples of contracts that may qualify for exceptions include:
  - Tasks with no exposure to mechanical or explosive hazards such as field walk-over, surface soil sampling, or long-term sampling.
  - Dredging, Maintenance, Service, and Limited Scope Contracts. These contracts include work such as dredging, mowing, furniture installation, fuel system maintenance, park attendants, and rest room cleaning.

**NOTE #1:** Level 1 SSHOs are not permitted to supervise non-safety personnel at the site of work.

**NOTE #2:** A Designation Letter is required for all levels of onsite SSHOs. Contractors must designate in writing all SSHOs on a mandatory ENG Form 6282 (Site Safety and Health Officer Designation Letter). The contractor must maintain a copy of the accepted form at the site of work.

#### U.S. Army Corps of Engineers (USACE)

#### SITE SAFETY & HEALTH OFFICER (SSHO) DESIGNATION LETTER

For use of this form, see EM 385-1-1; the proponent agency is CESO.

**Purpose**: This document designates personnel responsible for overseeing and ensuring the implementation of the prime contractor's Safety & Occupational Health (SOH) program. This designation letter will be signed by the company's official responsible for the SOH program (e.g., Corporate Safety Manager).

Submit the following documentation to the Government Designated Authority (GDA) as part of the Accident Prevention Plan: 1) This signed SSHO Designation Letter (Eng Form 6282); 2) An instructor-signed OSHA 30-hour card (or course completion certificate if within 90 days of completing the OSHA 30-hour training); and 3) proof of 24 hours of competency of SOH training within the last 3 years.

#### PART I: COMPLETED BY COMPANY'S SOH PROGRAM OFFICIAL

1. Project Name

2. Contract Number

3. Location

4. The designated SSHO on this form meets the minimum EM 385-1-1 training and experience requirements for the selected level below and is knowledgeable of the SOH requirements for this project and has the authority to stop work when required.

SSHO Level	OSHA 30-Hour	Competency Training	Experience
Level 1	Construction or General Industry	classroom or online SOH-related training within the past five years and must maintain competency by taking 24 hours	5 years of cumulative safety experience, within the last ten years, managing or implementing a SOH program on projects similar in industry type, size, and complexity as the project described in the

ł	SSHO Level	OSHA 30-Hour	Competency Training	Experience
L	00110 20101	331 // 33 113di	Competency Training	,
	Level 1	Construction or General Industry	24 hours of documented formal classroom or online SOH-related training within the past five years and must maintain competency by taking 24 hours every three-year period for the duration of the contract.	5 years of cumulative safety experience, within the last ten years, managing or implementing a SOH program on projects similar in industry type, size, and complexity as the project described in the contract scope of work.
	Level 2	Construction or General Industry	24 hours of documented formal classroom or online SOH-related training within the past five years and must maintain competency by taking 24 hours every three-year period for the duration of the contract.	3 years of cumulative safety experience, within the last 10 years, managing or implementing a SOH program on projects similar in industry type, size, and complexity as the project described in the contract scope of work.
	Level 3	Qualified or Competent Perso	n	

5. Summary of required safety experience:

5. Summary of required safety experience:			
By my signature below, I certify that the informatio	n I provide	d on this fo	orm is true and correct to the best of my knowledge.
Company SOH Official Name		Company	y SOH Official Title
Company SOH Official Signature		Date	
PART II: COM	<b>IPLETED</b>	BY DESIG	GNATED SSHO
SSHO Name	Date		SSHO Signature

# **ACCIDENT REPORTING**



### Official standardized investigation report submitted for USACE and contractors.

- Contractors can no longer send their own investigation report.
- Why? Information on the 3394 is now transferred into ENGLink accident investigation module.

The reporting and associated investigation of accidents and near misses is considered a leading indicator. Utilize the ENG Form 3394 (Mishap Notification and Investigation) to report accidents and near misses.

Table 2-1 Accident Reporting Required Timeline					
Accident Type	Notify <u>KO or COR</u>	Complete Final Accident Report on ENG 3394 and			
May trigger a Safety Investigation Board (SIB)		provide to KO or COR			
Fatality, in-patient hospitalization, amputation, eye loss, or property damage over \$600,000.	Immediately, no later than (NLT) 8 Hours	Within 7 Days			
All other accidents and near misses.	Immediately NLT 24 Hours	Within 7 Days			

U.S. Army Corps of Engineers (USA)

MISHAP NOTIFICATION AND INVESTIGATION OF THE PROPERTY OF T

U.S. Army Corps of Engineers (USACE)

#### MISHAP NOTIFICATION AND INVESTIGATION

DATA	REQUIRED	BY	THE	PRI

Authority

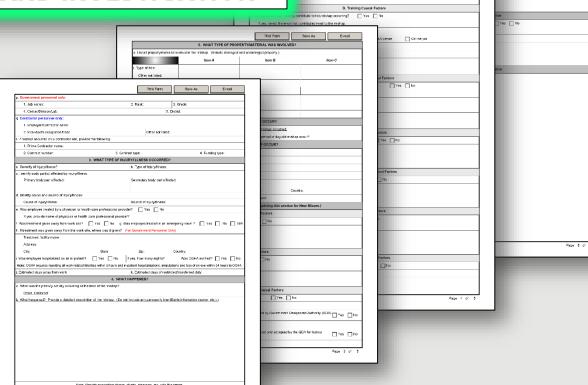
10 U.S.C. 7013, Secretary of the Army; 5 U.S.C. 7902, Safety Programs; Public Law 91-596, Occupational Safety and Health Act of 1970; DoD Instruction 6055.1, DoD Safety and Occupational Health Program; Army Regulations 385-10, Army Safety Program; DoD Instruction 6055.07, Mishap Notification, Investigation, Reporting, and Record Keeping; and E.O. 9397 (SSN), as amended.

Principal Purpose Information collected is to provide the USACE leaders, soldiers, families and civilians in injury, illness, and loss data to effectively manage its safety and occupational health program.

Routine Uses

In addition to those disclosures generally permitted under 5 U.S.C. 552a(b) of the Privacy Act of 1974, these records or information contained therein may specifically be disclosed outside the DoD as a routine use pursuant to 5 U.S.C. 552a(b) as follows: To the Department of Labor, the Federal Aviation Agency, the National Transportation Safety Board, and to Federal, State, and local agencies and applicable civilian organizations, such as the National Safety Council, for use in a combined effort of accident prevention. In some cases, data must also be disclosed to an employee's representative under the provisions of 29 CFR 1960.29. Records will be made available consistent with applicable laws and regulations. Information will be withheld from the public only if authorized by 5 U.S.C. Section 552 (Freedom of Information Act (FOIA), 5 U.S.C. 552a (Frivacy Act)), or other

	statutory or reg	gulatory authorit	у.				( ,	,,	(		
Disclosure	Failure to prov	ide all the requi	red information	on the rep	oort may resu	ult in the	rejection	of report sub	omission	ı.	
			1. WH	O IS REP	ORTING MIS	НАР					
a. Name:						<u>b</u> .	. Phone r	number:			
c. Email address:					d. Signature	<u>e:</u>					
	1. Near Miss R	Report. (No inju	ry/illness, or pro	perty dan	nage. <u>Compl</u>	lete all f	ields with	n underlined t	ext.)	Date:	
e. Report type:	2. Initial Accide	ent Report. (For	accident notific	ation with	nin 24 hrs, <u>Co</u>	mplete	all fields	with underline	ed text.)	Date:	
	3. Final Accide	ent Report. (Fo	reporting findir	ngs from a	accident inves	stigation	, comple	te full form.)		Date:	
f. Mishap Type. (Ch	neck all that app	ıly)									
Fatality		njury/lllr	ess		Property Dam	nage	I	Near Miss	;		
g. Were any of the	following items	associated with	the mishap ?	Ye	s No	(If ye	es, check	all that apply	2		
Electrical a	and/or Hazardou	S Worki	ng at Heights	☐ Dir	dina III	Load Ha Rigging	andling E	quipment or		Occupational Hea	alth
			2. WHO WA	SINVOLV	/ED IN THIS	MISHA	P?		<u>'</u>		
a. Name:											
b. Personnel Classi	fication:				c. Time emp	ployee b	egan wo	ork:			
d. Gender:		e. Date of birth	(for Governme	nt person	nel only):			f. Age:			
g. Date hired:		h. Primary lang	guage:								
i. Is individual a sup	pervisor? Y	es No	j. Duty status a	t time of r	nishap:			k. Years ex	perience	e in job:	
I. What was individu	ual doing when	mishap occurre	d? (Select activ	vity from t	he drop down	ns below	v.)				
	1. Gene	eral activities:					2. Vel	hicle/Equipme	ent/Vess	el:	
	3. Sport	s/Recreation:						4. Other not I	isted:		
m. Did individual ut	ilize all OSHA/E	M 385-1-1 requ	ired Personal F	Protective	Equipment (F	PPE) for	activity?	Y 🗌 Y	es 🔲	No 🔲 N/A	
If no, identify n	nissing PPE:										
n. Was a Personal	Flotation Device	e used?	Yes No	□ N/A	o. Was a se	eat belt u	used?	Yes 🗌	No [	N/A	
ENG FORM 339	94, AUG 202	<u> </u>	PREVIOU	S EDITIC	NS ARE OBS	SOLETE	Ē.			Page 1	of 5



### **ENG 3394 covers the following:**

- Who / where / when
- Type of injury / illness
- Summary → what happened
- Why → casual factors (performance, support, etc.)
- Corrective action plan

# **GENERAL REQUIREMENTS**



1 Contractor Employee Site Control

2 Contractor SOH Implementation and Oversight

Contractor SOH Submittals

4 Accident Investigation and Reporting

# **GENERAL REQUIREMENTS**



5

### **SOH Communications**

6

### Interpretations

https://team.usace.army.mil/sites/HQ/SO/Pages/Variances\_and\_Waivers.aspx

7

### Variances and Waivers

https://team.usace.army.mil/sites/HQ/SO/Pages/Variances\_and\_Waivers.aspx

8



# **ACCIDENT PREVENTION PLAN (APP)**



WHAT IS AN APP

An APP is a written site-specific SOH <u>plan that documents project, contract, and jobspecific potential hazards in the workplace, and the company policies, controls, and work practices that will be used to minimize those hazards. They are an integral part of the planning and risk management process.</u>



The APP must be developed by a CP and reviewed and approved by the prime contractor and corporate safety official.



Upon contractor approval, the plan must be submitted to the <u>KO or COR</u> for review and acceptance prior to the performance of any work. <u>No contract work can begin</u> without a KO or COR accepted APP.



Use Mandatory ENG Form 6293 (Accident Prevention Plan Worksheet.

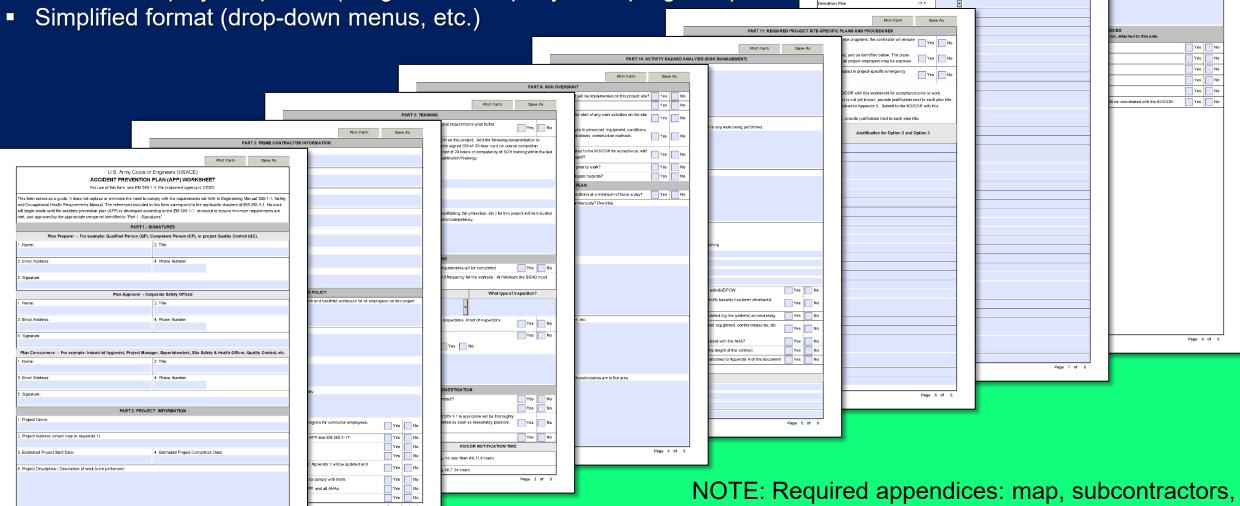


ENG FORM 6293, AUG 2023

# **ENG 6293: MANDATORY APP FORM**



- Comprehensive review of USACE project
- Meant to be project-specific (vs. generic company SOH programs)



NOTE: Required appendices: map, subcontractors personnel qualifications, AHA, site-specific plans)





	Print Form Save As		Print Form Save As
LLS Army Corne	of Engineers (USACE)	PRIME INFO PART 3: PRIME CONTRACTOR INFORMATION	
	ON PLAN (APP) WORKSHEET	1. Prime Contractor Name:	
	85-1-1; the proponent agency is CESO.		
This form serves as a quide. It does not replace or eliminate the need to	o comply with the requirements set forth in Engineering Manual 385-1-1, Safety	2. Contract Number:	
and Occupational Health Requirements Manual. The references include	ed in this form correspond to the applicable chapters of EM 385-1-1. No work	2. Contract Number:	
will begin onsite until the accident prevention plan (APP) is developed a met, and approved by the appropriate personnel identified in "Part 1 -Sig	according to the EM 385-1-1, reviewed to ensure minimum requirements are		
	- SIGNATURES	3. Project Manager Name:	
	P), Competent Person (CP), or project Quality Control (QC).	SIGNATURES	
1. Name:	2. Title;	4. Quality Control (QC) Manager Name:	
1. Franto.	2. 1100.		
3. Email Address:	4. Phone Number:	5. Contractor Corporate Safety Official Name:	
5. Signature		6. Primary Site Safety & Health Officer (SSHO) Name:	
	Corporate Safety Official	CORP. SAFETY  7. Alternate Site Safety & Health Officer (SSHO) Name(s):	
1. Name:	2. Title:	The man are called a realist of the more called the man are called the	
3. Email Address:	4. Phone Number:	COLLDOLLOY	
3. Email Address:	4. Phone Number:	SOH POLICY PART 4: SOH COMMITMENT AND POLICY	
5. Signature		Provide a statement of safety and health policy detailing commitment to providing a safe and healthful workpl	ace for all employees on this proje
Plan Concurrence - For example: Industrial hygienist, Project Ma	anager, Superintendent, Site Safety & Health Officer, Quality Control, etc.	CONCURRENCE 2. Provide a statement outlining the Contractor's safety program goals and phiertipus	
1. Name:	2. Title:	Provide a statement outlining the Contractor's safety program goals and objectives.	
3. Email Address:	4. Phone Number:		
		Identify policies and procedure regarding non-compliance with safety requirements.	
5. Signature:			
DART 2: DRO	JECT INFORMATION		
1. Project Name:		PROJECT INFO  4. Provide written procedures for holding managers and supervisors accountable for safety.	
2. Project Address (attach map in Appendix 1):			
Estimated Project Start Date:	Estimated Project Completion Date:	5. Prime contractor is responsible for the implementation and enforcement of the SOH program for contractor er subcontractors, suppliers, and visitors at the site of work?	mployees, Yes
		6. Prime contractor will require subcontractors, suppliers, and visitors to comply with the APP and EM 385-1-1?	Yes
Project Description / Description of work to be performed:		7. No work will be performed unless a designated SSHO is present on the site of work.	Yes
		8. A list of all known subcontractor(s) and supplier(s) are listed in Appendix 2.	Yes
		9. If all subcontractor(s) and supplier(s) are not known at the time of initial APP submittal, Appendix 2 will be up	dated and
		resubmitted to the KO/COR for acceptance prior to the start of any work activities.	Yes
		10. All subcontractors will have a copy of the APP, applicable AHAs and will be required to comply with them.	Yes
		11. Subcontractors and suppliers at the site of work will abide by the EM 385-1-1, this APP, and all AHAs.	Yes
		12. This APP and AHAs will be available to all workers at the site of work.	Yes
ENG FORM 6293, AUG 2023	Page 1 of 8	ENG FORM 6293, AUG 2023	Page 2 of





				_	
		Print Form	Save As	1	
PART 5:	TRAINING -				TRAINING
All employees will be trained according to applicable EM 385-1-1, federal commencement of work.	I, state, and local requirement	ts prior to the	Yes No	ĺ	TIVAIININO
The following personnel are identified and designated as personnel resp	onsible for SOH on this project	ct. Add the following do	ocumentation to		
Appendix 3 of this worksheet: 1) SSHO designation letter (ENG Form 62 certificate if within 90 days of having completed the OSHA 30-hour train 3 years for the personnel identified below. (EM 385-1-1, Chapter 2, 2-3 I (Check and list names of personnel being designated)	ing), and 3) proof of 24 hours	of competency of SOH			SOH OVER
Primary SSHO Name:					
Alternate SSHO Name(s):					
					OEVEDE W
<ol> <li>QP(s) and CP(s) required by EM 385-1-1 (for example, excavation, contin Appendix 3. Include 1) List of names or designation letters and 2) pro</li> </ol>			project will be included		SEVERE W
PART 6: SOH	INSPECTIONS				INSPECTIONS
All applicable inspections required by EM 385-1-1, contract, federal, state	e, and local requirements will	be completed.	Yes No	1	
The following personnel are assigned to conduct inspections, the type of conduct daily site inspections.	inspection and frequency for	the worksite. At minim	um the SSHO must		
Who will conduct inspection?	equency?	What type o	finspection?		
•	-				
Employees that conduct inspections will have technical proficiency needs training/qualification is included in Appendix 3.	ed to perform inspections. Pro	oof of inspector's	Yes No		
4. Deficiencies will be documented and tracked as required by this EM 385	-1-1?		Yes No		
<ol> <li>Will any external inspections be required (for example, USCG, OSHA, et If yes, describe:</li> </ol>	tc.)? Yes N	lo			
PART 7: ACCIDENT REPOR	RTING AND INVESTIGATION	· —			<ul> <li>ACCIDENT INF</li> </ul>
Exposure data (man-hours worked) will be reported to KO/COR as requi	red by the contract?		Yes No		7188IBEITI IIII
2. All accidents will be reported as soon as possible according to EM 385-1			Yes No		
<ol> <li>All accidents occurring incidentally to an operation, project, or facility for investigated and analyzed. Corrective actions to prevent reoccurrence w The KO/COR will be notified when corrective actions are completed.</li> </ol>			Yes No		
Accident notification will be provided to the KO/COR as stated below:			Yes No		
ACCIDENT TYPE	ко/сс	OR NOTIFICATION TIME	AE .		
Fatality, permanent and partial disability, or property damage over \$600,000	Immediately, no later than (f	NLT) 8 hours			
All other accidents and near misses	Immediately, NLT 24 hours				
ENG FORM 6293, AUG 2023			Page 3 of 8		

RSIGHT

EATHER

	Print Form	Sav	e As
PART 8: SOH OVERSIGHT			
1. A risk management process that meete of exceeds the requirement of the EM 385-1-1 will be implement	nted on this project site?	Yes	No
Hazardous conditions and unsafe acts will be immediately corrected?		Yes	No
<ol> <li>APP and AHAs will be prepared by a QP or CP according to the EM 385-1-1 prior to the start of any wo of work (as much as the information can be known at that point in time).</li> </ol>	rk activities on the site	Yes	No
4. The plans and AHAs will be updated throughout the life of the project to include changes in personnel, etc. Additional revisions must be incorporated as necessary to reflect changing site conditions, construct personnel roles and responsibilities and construction schedules.		Yes	No
<ol><li>No activity/work will be started at the site of work until the APP is reviewed and submitted to the KO/CC the site-specific plans and procedures required by the EM 385-1-1 to complete the project?</li></ol>	R for acceptance, with	Yes	No
6. APP and AHAs will be provided to and reviewed by all workers involved in the activity prior to work?		Yes	No
7. Workers will sign AHA(s) to concur with identified job steps and controls needed to mitigate hazards?		Yes	No
PART 9: SEVERE WEATHER PLAN			
The SSHO or designated onsite personnel is responsible for checking the weather conditions at a minim	num of twice a day?	Yes	No
What severe weather forecast will require the SSHO to monitor weather conditions continuously? Description			
What are the training requirements for severe weather precautions and actions?			
4. Identify area of retreat or other actions to be taken such as evacuation, shelter in place, etc.			
5. Identify procedures to stop outdoor activities if extreme weather conditions to include thunderstorms are	in the area.		

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			Print Form	Save As
	PART 10: ACTIVITY	/ HAZARD ANALYSIS (RISK MANAGEM	ENT)	
<ol> <li>Major phases of work antic</li> </ol>	ipated:			
<ol><li>Definable Features of World An activity-specific.</li></ol>		COR for each DFOW prior to any work be	ng performed	
Note. All activity-specific.	ALIA WIII DE SUDMINICO TO TITE INO	CON IOI Cacil Di OW piloi to ally work be	ng periornea.	
List equipment to be used:				
5. List equipment to be used.				
Anticipated High-Risk Activ	rities: (See examples below, che	ck all that apply):		
Electrical and/or Haza	rdous Energy	Confined Space		
Working at Heights		Excavations & Trenching		
Scaffolding		Other:		
Diving		Other:		
Load Handling Equipr	ment	Other:		
Occupational Exposur	re	Other:		
Detailed project-specific ha	zards and controls will be provid	ed utilizing AHAs for each activity/DFOW.		Yes No
6. No work will begin on an a	ctivity/DFOW until the initial AHA	addressing the project-specific hazards ha	as been developed,	<b>—</b>
reviewed, and accepted by the KO/COR. (See EM 385-1-1 Chapter 2 section 2-6).		Yes No		
7. AHAs are living documents and are intended to be created, utilized in the field, and updated (by the workers) as necessary.		Yes No		
8. Workers/crews will have accepted AHA(s) that reflects current site conditions, personnel, equipment, control measures, etc.		Yes No		
while the work is being per				
9. AHA will be used by the contractor personnel to assure work is being performed consistent with the AHA?		Yes No		
10. Once the activity has been completed, the AHA will be available and kept onsite for the length of the contract.		Yes No		
		(O/COR prior to work and attached to App	endix 4 of this document	Yes No
	accept residual risk on AHA(s)? (			
Category of Risk	Approval Authority (Name an	d Title)		
Extremely High Risk				
High Risk				
Medium Risk				
Low Risk				

RISK MANAGEMENT

PROJECT-SPECIFIC SOH PLANS

ACCEPTANCE OF RISK

			Plilit Pollii Save As
PART 11: REG	QUIRED PROJE	ECT SITE-SPEC	CIFIC PLANS AND PROCEDURES
Based on a risk assessment of contracted active     that all applicable SOH risks and associated co			compliance programs, the contractor will ensure Yes No
Include all project-specific compliance plans, a will incorporate project-wide procedures to con-			Yes   No
These plans and procedures will be coordinate response and evacuation procedures.	d with all project	employers and	be included in project-specific emergency  Yes  No
Option 2: If a plan is applicable, but all of the m and provide an expected date the worksheet for acceptance prior to	ttach it to Appen inimum required plan will be crea work.	dix 5. Submit to d information for ated, reviewed,	the KO/COR with this worksheet for acceptance prior to work. the plan is not yet known, provide justification next to each plan title and attached to Appendix 5. Submit to the KO/COR with this formed, provide justification next to each plan title.
Minimum plans required by EM 385-1-1	Reference EM 385-1-1 paragraph:	Select Option	Justification for Option 2 and Option 3
Exposure Control Plan	3-7.a	₹	
AED Program	3-7.b	<b>\</b>	
Construction Site Plan	4-7.a	•	
Access/Haul Road Plan	4-7.b	•	
Hearing Conservation Program	5-7.a	•	
Respiratory Protection Program	5-7.b	•	
Hazard Communication (HazCom) Program	6-7.a	•	
Process Safety Management (PSM) Program	6-7.b	•	
Lead Compliance Plan	6-7.c	•	
Asbestos Management Plan	6-7.d	•	
onizing Radiation Safety Plan	6-7.e	•	
Radiofrequency Plan	6-7.e	•	
Abrasive Blasting Plan	6-7.g	•	
Thermal Stress Management Plan	6-7.h	•	
Mold Remediation Plan	6-7.i	₹	
Silica Compliance Plan	6-7.j	▼	
Fatigue Management Plan	6-7.k	•	
Night Operations Lighting Plan	7-7	•	
Traffic Control Plan	8-7	•	
Fire Prevention Plan	9-7.a	•	
Wildland Fire Management Plan	9-7.b	•	
Housekeeping Plan	10-7	•	
Electrical Safety Plan	11-7	•	
Assured Equipment Grounding Conductor	11-7.b	Ī	

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12-7.a

Energy Control Plan

Hazard Isolation Procedures

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				Print Form	Save As
Demolition Plan	17-7				
Emergency Plan for Marine Activities	19-7.a				
Severe Weather Plan for Marine Activities	19-7.b				
Float Plans	19-7.c	•			
Tow Plans	19-7.d	_			
Fall Protection and Prevention Plan	21-7.		JEOT		
Rescue Plan	21-7.	PKC	JEC I-	<b>SPECI</b>	
Scaffold Work Plan	21-7.				
Rope Access Work Plan	23-7	P I	LANS	(CONT.	)
Excavation and Trenching Plan	25-7	M		`	′
Fire Prevention and Protection Plan	26-7 a & f	<b>-</b>			
Compressed Air Work Plan	26-7 a & b	•			
Contingency Response Plan	26-7 a & c				
Emergency Rescue Plans and Equipment	26-7 a & d	•			
Ventilation and Dust Control Plan	26-7 a & e	•			
Formwork and Shoring Plan	27-7.a	•			
Masonry Bracing Plan	27-7.b	•			
Steel Erection Plan	28-7.a	•			
Site Layout Plan	28-7.b	<u> </u>			
Explosive Site Safety Plan	29-7.a	•			
Master Blasting Plan	29-7.b	•			
Vibrations Monitoring Plan	29-7.c	•			
Dive Operations Plan	30-7.a & d	•			
Emergency Management Plan	30-7.a & e	₹			
Safe Practices Manual	30-7.b	₹			
Snorkeling Plan	30-7.f	Ī			
Tree Maintenance and Removal Plan	31-7	<u> </u>			
Construction Safety and Phasing Plan	32-7.a	<u> </u>			
Safety Plan Compliance Document	32-7.b	<u> </u>			
Aviation Pre-Accident Plan	33-7	<u> </u>			
Standard Lift Plan	34-7.b	Ī			
Confined Space Plan	34-7.b & c	Ī			
Standard Lift Plan - Floating Plan	34-7.c	Ī			
Critical Lift Plan	34-7.d	Ī			
Pile Driving	34-7.e	•			
Housekeeping Plan	35-7.a	Ī			
Extermination Plan	35-7.b	Ī			
Site Safety and Health Plan	36-7.a	•			
Comprehensive Work Plan	36-7.b	•			
Emergency Response Plan	36-7.c	-			

PROJECT SITE COORDINATOR

REQUIRED APPENDICES

Print Form	Sav	e As
PART 12: PROJECT SITE COORDINATION		
. Provide procedures for coordinating SOH activities with other employers on the site of work:		
PART 13: REQUIRED APPENDICES		
Indicate if each of the following items are, or are not, attached to this plan.		
Appendix 1. Project Map	Yes	No
Appendix 2. Subcontractor/Suppliers	Yes	No
Appendix 3. Personal Qualifications and Designations	Yes	No
Appendix 4. Activity Hazard Analysis	Yes	No
Appendix 5. Site-specific plans and procedures	Yes	No
Appendix 6. Any additional plans, procedures, etc. can be added to this appendix and will be coordinated with the KO/COR.	Yes	No





# **ACCESSING EM 385-1-1**



### Updated content will be accessible to all stakeholders that includes the following formats:

#### **HARD COPIES**



### PDF / FORMS



#### **MOBILE APPS**



#### **TRAINING**



**FUTURE COMMENTS:** Website for providing feedback for continuous improvement(s)



# THANK YOU FOR ATTENDING













**Questions** 

Comments

**Feedback** 

